

DEPARTMENT OF THE TREASURY  
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU  
APPLICATION FOR AND CERTIFICATION/EXEMPTION OF LABEL/BOTTLE APPROVAL  
(See Instructions and Paperwork Reduction Act Notice on Back)

**PART I - APPLICATION**

1. REP. ID. NO. (If any) CT 306 OR 46

2. PLANT REGISTRY/BASIC PERMIT/BREWER'S NO. (Required) DSP-VT-3

3. SERIAL NUMBER (Required) YEAR 03-03

4. TYPE OF PRODUCT (Required)  
 WINE  
 DISTILLED SPIRITS  
 MALT BEVERAGE

7. NAME AND ADDRESS OF APPLICANT AS SHOWN ON PLANT REGISTRY, BASIC PERMIT OR BREWER'S NOTICE. INCLUDE APPROVED DBA OR TRADENAME IF USED ON THE LABEL (Required)  
DUNCAN'S SPIRITS, INC.  
622 KEYSER HILL ROAD  
ST. JOHNSBURY, VT. 05819

7a. MAILING ADDRESS, IF DIFFERENT

8. EMAIL ADDRESS WWW.VERMONTSPIRITS.COM

9. FORMULA/SOP NO. (If any) 03-01

10. LAB. NO. & DATE/PRE-IMPORT NO. & DATE (If any)

11. NET CONTENTS 750 mL

12. ALCOHOL CONTENT 40%

13. WINE APPELLATION (If on label)

14. WINE VINTAGE DATE (If on label)

15. PHONE NUMBER (802) 748-6545

16. FAX NUMBER (802) 748-8898

17. TYPE OF APPLICATION (Check applicable box(es))  
a.  CERTIFICATE OF LABEL APPROVAL  
b.  CERTIFICATE OF EXEMPTION FROM LABEL APPROVAL "For sale in \_\_\_\_\_ only" (Fill in State abbreviation)  
c.  DISTINCTIVE LIQUOR BOTTLE APPROVAL. TOTAL BOTTLE CAPACITY BEFORE CLOSURE \_\_\_\_\_ (Fill in amount)  
d.  RESUBMISSION AFTER REJECTION TTB ID \_\_\_\_\_

18. SHOW ANY WORDING (a) APPEARING ON MATERIALS FIRMLY AFFIXED TO THE CONTAINER (e.g., caps, celloseals, corks, etc.) OTHER THAN THE LABELS AFFIXED BELOW, OR (b) BLOWN, BRANDED OR EMBOSSED ON THE CONTAINER (e.g., net contents, etc.). THIS WORDING MUST BE NOTED HERE EVEN IF IT DUPLICATES PORTIONS OF THE LABELS AFFIXED BELOW. ALSO, PROVIDE TRANSLATIONS OF FOREIGN LANGUAGE TEXT APPEARING ON LABELS.  
AFFIXED PHOTOGRAPHS ARE REDUCED TO 70% OF ACTUAL SIZE. PLEASE SEE ACTUAL-SIZE PHOTOGRAPHS ENCLOSED WITH APPLICATION.

**PART II - APPLICANT'S CERTIFICATION**

Under the penalties of perjury, I declare: that all statements appearing on this application are true and correct to the best of my knowledge and belief; and, that the representations on the labels attached to this form, including supplemental documents, truly and correctly represent the content of the containers to which these labels will be applied. I also certify that I have read, understood and complied with the conditions and instructions which are attached to an original TTB F 5100.31, Certificate/Exemption of Label/Bottle Approval.

19. DATE OF APPLICATION 11-10-03

20. SIGNATURE OF APPLICANT OR AUTHORIZED AGENT [Signature]

21. PRINT NAME OF APPLICANT OR AUTHORIZED AGENT DUNCAN HOLADAY, PRESIDENT

**PART III - TTB CERTIFICATE**

This certificate is issued subject to applicable laws, regulations and conditions as set forth in the instructions portion of this form.

22. DATE ISSUED NOV 26 2003

23. AUTHORIZED SIGNATURE, ALCOHOL AND TOBACCO TAX AND TRADE BUREAU [Signature]

**FOR TTB USE ONLY**

QUALIFICATIONS

EXPIRATION DATE (If any)

AFFIX COMPLETE SET OF LABELS BELOW (See General Instructions 4, 6 and 7)

