

OMB No. 1513-0020 (01/31/2009)

<b>FOR TTB USE ONLY</b>			<b>DEPARTMENT OF THE TREASURY</b> ALCOHOL AND TOBACCO TAX AND TRADE BUREAU <b>APPLICATION FOR AND</b> <b>CERTIFICATION/EXEMPTION OF LABEL/BOTTLE</b> <b>APPROVAL</b>  (See Instructions and Paperwork Reduction Act Notice on Back)		
<b>TTB ID</b> 09049001000051					
<b>1. REP. ID. NO. (If any)</b>	<b>CT</b> 644	<b>OR</b> 14			

**PART I - APPLICATION**

<b>2. PLANT REGISTRY/BASIC PERMIT/BREWER'S NO. (Required)</b> DSP-CT-15000 DSP-CA-15 DSP-IL-58 DSP-MD-3		<b>3. SOURCE OF PRODUCT (Required)</b> <input checked="" type="checkbox"/> Domestic <input type="checkbox"/> Imported		<b>8. NAME AND ADDRESS OF APPLICANT AS SHOWN ON PLANT REGISTRY, BASIC PERMIT OR BREWER'S NOTICE. INCLUDE APPROVED DBA OR TRADENAME IF USED ON LABEL (Required)</b>  DIAGEO AMERICAS SUPPLY, INC. 801 MAIN AVE  NORWALK CT 06851  CAPTAIN MORGAN RUM CO. (Used on label)	
<b>4. SERIAL NUMBER (Required)</b> 09U100		<b>5. TYPE OF PRODUCT (Required)</b> <input type="checkbox"/> WINE <input checked="" type="checkbox"/> DISTILLED SPIRITS <input type="checkbox"/> MALT BEVERAGE			
<b>6. BRAND NAME (Required)</b> CAPTAIN MORGAN			<b>8a. MAILING ADDRESS, IF DIFFERENT</b>		
<b>7. FANCIFUL NAME (If any)</b> ORIGINAL SPICED					
<b>9. EMAIL ADDRESS</b> LORI.HUNTER@DIAGEO.COM		<b>10. FORMULA/SOP NO. (If any)</b> M-11		<b>11. LAB. NO. &amp; DATE / PREIMPORT NO. &amp; DATE (If any)</b>	
<b>12. NET CONTENTS</b> 375 MILLILITERS		<b>13. ALCOHOL CONTENT</b> 35		<b>18. TYPE OF APPLICATION (Check applicable box(es))</b> a. <input checked="" type="checkbox"/> CERTIFICATE OF LABEL APPROVAL b. <input type="checkbox"/> CERTIFICATE OF EXEMPTION FROM LABEL APPROVAL "For sale in _____ only" (Fill in State abbreviation.) c. <input type="checkbox"/> DISTINCTIVE LIQUOR BOTTLE APPROVAL. TOTAL BOTTLE CAPACITY BEFORE CLOSURE _____ (Fill in amount) d. <input type="checkbox"/> RESUBMISSION AFTER REJECTION TTB ID. NO. _____	
<b>15. WINE VINTAGE DATE IF ON LABEL</b>		<b>16. PHONE NUMBER</b> (815) 267-4460		<b>17. FAX NUMBER</b> (815) 267-4646	
19. SHOW ANY WORDING (a) APPEARING ON MATERIALS FIRMLY AFFIXED TO THE CONTAINER (e.g., caps, celoseals, corks, etc.) OTHER THAN THE LABELS AFFIXED BELOW, OR (b) BLOWN, BRANDED OR EMBOSSED ON THE CONTAINER (e.g., net contents etc.). THIS WORDING MUST BE NOTED HERE EVEN IF IT DUPLICATES PORTIONS OF THE LABELS AFFIXED BELOW. ALSO, PROVIDE TRANSLATIONS OF FOREIGN LANGUAGE TEXT APPEARING ON LABELS. CONTAINERS WILL BE INDIVIDUALLY CODED FOR IDENTIFICATION PURPOSES. BOTTLE DEPOSIT INFORMATION MAY/MAY NOT APPEAR ON THE LABEL AND/OR CONTAINER. KOSHER SYMBOL MAY/MAY NOT APPEAR ON LABEL.					


**PART II - APPLICANT'S CERTIFICATION**

Under the penalties of perjury, I declare; that all statements appearing on this application are true and correct to the best of my knowledge and belief; and, that the representations on the labels attached to this form, including supplemental documents, truly and correctly represent the content of the containers to which these labels will be applied. I also certify that I have read, understood and complied with the conditions and instructions which are attached to an original TTB F 5100.31, Certificate/Exemption of Label/Bottle Approval.

<b>20. DATE OF APPLICATION</b> 02/18/2009	<b>21. SIGNATURE OF APPLICANT OR AUTHORIZED AGENT</b> (Application was e-filed)	<b>22. PRINT NAME OF APPLICANT OR AUTHORIZED AGENT</b> LORI HUNTER
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**PART III - TTB CERTIFICATE**

This certificate is issued subject to applicable laws, regulations and conditions as set forth in the instructions portion of this form.

<b>23. DATE ISSUED</b> 02/20/2009	<b>24. AUTHORIZED SIGNATURE, ALCOHOL AND TOBACCO TAX AND TRADE BUREAU</b> 
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<b>QUALIFICATIONS</b>  <b>STATUS</b> THE STATUS IS APPROVED.	<b>EXPIRATION DATE (if any)</b>
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**CLASS/TYPE DESCRIPTION**

RUM SPECIALTIES

AFFIX COMPLETE SET OF LABELS BELOW

Image Type: Brand (front)

Actual Dimensions: 3.20 inches W X 4.19 inches H



Image Type: Neck

Actual Dimensions: 3.88 inches W X 1.0 inches H



TTB F 5100.31 (6/2006) PREVIOUS EDITIONS ARE OBSOLETE